



Rec'd PCT/PTO 22 JUL 2004

PC/EPUS/00611



10/502235

REC'D 26 FEB 2003 The Patent Office WIP@Concept House	INVESTOR IN PEOPLE
---	--------------------

Cardiff Road  
Newport  
South Wales  
NP10 8QQ

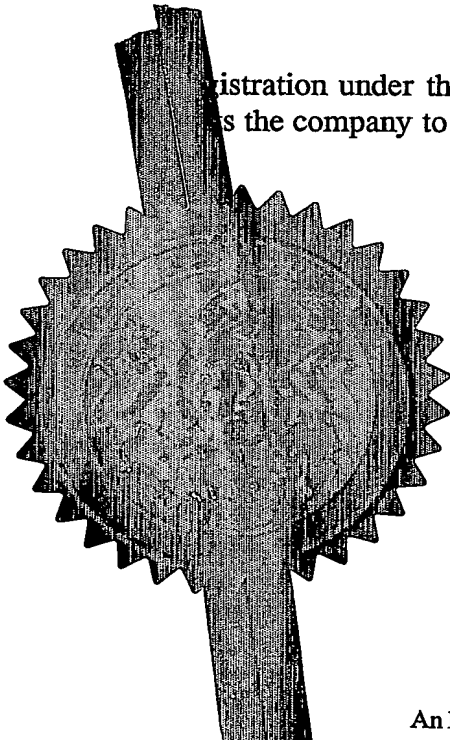
**PRIORITY DOCUMENT**  
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH  
RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



*P. Mahoney*

Signed

Dated 11 November 2002

**BEST AVAILABLE COPY**

An Executive Agency of the Department of Trade and Industry

23 JAN 2002

RECEIVED BY FAX

The  
Patent  
Office

23JAN02 E690171-1 D01072  
P01/7700 0.00-0201477.7

# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

123 JAN 2002

The Patent Office

Cardiff Road  
Newport  
South Wales  
NP9 1RH

1. Your reference

APUK02056

2. Patent application number

(The Patent Office will fill in this part)

0201477.7

3. Full name, address and postcode of the or of each applicant (underline all surnames)

Novartis Forschungsstiftung, Zweigniederlassung  
Friedrich Miescher Institute for Biomedical Research,  
Maulbeerstrasse 66, CH-4058 Basel, SWITZERLAND

Patents ADP number (if you know it)

8206583001

If the applicant is a corporate body, give the country/state of its incorporation

4. Title of the invention

METHODS OF OBTAINING ISOFORM SPECIFIC  
EXPRESSION IN MAMMALIAN CELLS

5. Name of your agent (if you have one)

Hepworth Lawrence Bryer & Bizley

"Address for service" in the United Kingdom  
to which all correspondence should be sent  
(including the postcode)

Merlin House, Falconry Court, Baker's Lane, Epping, Essex CM16 5DQ

Patents ADP number (if you know it)

05608575008

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
- See note (d))

Yes

# Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form.  
Do not count copies of the same document

Continuation sheets of this form

Description 19  
Claim(s) 4  
Abstract 1  
Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11

I/We request the grant of a patent on the basis of this application.

Signature

Heprath Lawrence Byls & Brelay

Date:

January 23, 2002

12. Name and daytime telephone number of person to contact in the United Kingdom

Dr. Richard A. Williams

01992 561756

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

## Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505  
b) Write your answers in capital letters using black ink or you may type them.  
c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.  
d) If you have answered 'Yes' Patents Form 7/77 will need to be filed.  
e) Once you have filled in the form you must remember to sign and date it.  
f) For details of the fee and ways to pay please contact the Patent Office.

Patents Form 1/77

**METHODS OF OBTAINING ISOFORM SPECIFIC EXPRESSION IN MAMMALIAN CELLS**

**FIELD OF INVENTION**

The present invention relates to the field of gene expression, in particular to inhibiting the expression of a specific isoform of a gene in mammalian cells using double-stranded RNA. The double-stranded RNA based technology of the invention has wide applications, such as for determining function of a particular gene isoform or developing therapeutic methods for treating diseases.

**BACKGROUND OF THE INVENTION**

Many eukaryotic genes are expressed as multiple isoforms through the differential utilization of transcription and translation initiation sites or through alternative splicing, thus giving rise to proteins of related sequence but with biochemically as well as biologically distinct features (Andreadis et al., 1987, Annu. Rev. Cell Biol. 3, 207-242). Although, in many cases, multiple isoforms are expressed in the same cell at the same time, the expression level and pattern of each isoform may vary with cell type and developmental stage, making the study of each isoform confusing and difficult.

The conventional approach for investigating the function of individual isoforms requires conditions where only one isoform is expressed or eliminated to provide a clean background (i.e. without the influence of other isoforms). Thus, typically the desired isoform has been expressed in cells or whole organisms in which the target gene has been deleted, a process that is time consuming. While several protocols for tissue-specific expression or elimination of a gene of interest have been developed (Johnson et al., 1989, Mol. Cell Biol. 9, 3393-3399; Tan, 1991, Dev. Biol. 146, 24-37; Gorman and Bullock, 2000, Curr. Opin. Biotechnol. 11, 455-460), reports of isoform-specific gene inactivation (Migliaccio et al., 1999, Nature 402, 309-313) or expression in a clean background are limited. Such protocols are usually lengthy, often requiring several months to establish the desired conditions. During this time, cells or organisms may adapt to the new conditions (Muller, U., 1999, Mech. Dev. 82, 3-21) and caution is required when interpreting the results.

In the last few years, advances in nucleic acid chemistry and gene transfer have inspired new approaches to engineer specific inhibition of gene expression. Antisense technology has been the most commonly described approach in protocols to achieve gene-specific inhibition, although more recently targeted gene silencing based on double-stranded RNA (dsRNA) inducing a response called post-transcriptional gene silencing (PTGS) or RNA interference (RNAi) has been proposed. PTGS is a phenomenon originally reported in plants (van der Krol et al., 1990, *Plant Cell* 2, 291-299; Napoli, et al., 1990, *Plant Cell* 2, 931-943), where introduction of a transgene causes silencing of the endogenous homologous gene as well as itself. The mechanism of PTGS involves enhanced mRNA degradation with double-stranded (ds)RNA as the trigger (Cogoni and Macino, 2000, *Curr. Opin. Genet. Dev.* 10, 638-643; Carthew, 2001, *Curr. Opin. Cell Biol.* 13, 244-248). A similar phenomenon (quelling) was observed in *Neurospora* (Cogoni et al., 1994, *Antonie Van Leeuwenhoek* 65, 205-209). The mechanism underlying RNAi has been partially elucidated and a 21- to 23-nt-long dsRNA was found to be the intermediate/mediator of mRNA decay (Zamore et al., 2000, *Cell* 101, 25-33; Bernstein et al., 2001, *Nature* 409, 363-366).

In the animal kingdom, dsRNA-mediated gene silencing was first described in the nematode *C. elegans* (Fire et al., 1998, *Nature* 391, 806-811) and was termed RNA interference (RNAi). Subsequently, RNAi has been observed in a wide range of organisms including flies, trypanosomes, hydra, zebrafish and mice oocytes (Cogoni and Macino, 2000; Bosher and Labouesse, 2000, *Nat. Cell Biol.* 2, E31-36). Although RNAi has been used extensively in non-mammalian systems, dsRNA inhibition has only recently been applied successfully in mammalian systems. In particular, an efficient posttranscriptional gene-silencing method has been reported that employs a small interfering double-stranded RNA, siRNA, in mammalian cells (Elbashir et al., 2001, *Nature* 411, 494-498). The authors describe that transfection of 21-nucleotide dsRNA (siRNA) can trigger PTGS of both the co-transfected and the endogenous gene in cultured mammalian cells.

Using a cell-free system of dsRNA-mediated mRNA decay, mRNA was shown to be cleaved in *Drosophila* embryonal cell extracts within the region of identity with the dsRNA (Zamore et al., 2000). However, the potency of RNAi in worms and flies suggests that dsRNA acts catalytically and/or is amplified (Zamore, 2001, *Nature*

Structural Biology 8, 746-750). Although it has been suggested that si RNAs are not replicated by endogenous RNA-dependent RNA polymerase in *C. elegans*, data now exists supporting a model whereby siRNAs indeed act as primers for cellular polymerases and are extended to form ds RNAs in both *C. elegans* and *Drosophila* (Lipardi et al., 2001, Cell 107, 297-307; Sijen et al., 2001, Cell 107, 465-476; Nishikura, 2001, Cell 107, 415-418). Propagation of RNAi to other regions of the mRNA in this way would result in the spread of gene silencing to related sequences, thereby not allowing isoform-specific dsRNA inhibition.

There is a need in the art for a system to evaluate gene function, in particular for the evaluation of isoform function in mammalian cells, as well as for therapies dependent on dsRNA inhibition of closely related mRNAs in mammalian cells and this invention meets that need.

#### SUMMARY OF THE INVENTION

The present invention provides a method of expressing a desired isoform of a gene product in a cell absent undesired isoforms of the gene product, comprising the steps of:

(a) exposing a mammalian cell to at least one nucleic acid that is at least a partially double-stranded ribonucleic acid, the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms of the gene product; and (b) introducing an expression vector encoding a desired isoform of the gene product into the mammalian cell, the desired isoform having a sequence comprising one or more mismatches relative to the double-stranded portion of the nucleic acid, operably linked to a promoter capable of driving expression of the desired isoform in the cell. Preferably, the common nucleic acid sequence is at least 19 consecutive nucleotides in length and is common to all endogenous isoforms of the gene product in the cell.

The nucleic acid is preferably 19 to 25 nucleotides long and the double-stranded portion of the nucleic acid is preferably 100% identical to the common nucleic acid sequence. In particular, the at least partially double-stranded ribonucleic acid contains a double-stranded portion of at least 19 nucleotides, preferably 19 nucleotides, and at least one two-nucleotide single-stranded 3' overhang, preferably having two.

In preferred embodiments, the desired isoform is described by a sequence comprising two or more mismatches relative to the double-stranded portion of the nucleic acid. This, the expression vector encoding the desired isoform can have at least one codon (e.g., two or more) that differs from the endogenous sequence coding the desired isoform. Preferably, the desired isoform has an identical protein sequence to the corresponding endogenous isoform.

In one embodiment, the desired isoform replaces a mutant isoform expressed by the cell, allowing correct gene function. Thus, the mutant isoform can be oncogenic, apoptotic, tumor suppressive, inflammation inducive or suppressive, or angiogenic.

In one embodiment, the method of the invention is used to determine the function of the desired isoform (i.e., isoform of unknown function)

The method is useful in alltypes of mammalian cells, including cancer cells, such as HeLa (cervical cancer), PC3 (prostate cancer), MDA-MB-231 (breast cancer) and MCF-7, or cell *in vivo*.

The desired isoform can transcribed under the control of an endogenous promoter, a constitutive promoter, an inducible promoter or a tissue-specific promoter , for example.

The invention also provides methods of assigning function to a desired isoform of unknown function. Also provided are kits and materials for carrying out the invention, as well as cells exhibiting isoform-specific expression in a clean background. The invention also encompasses therapeutic uses and compositions based on the methods of the invention.

#### DETAILED DESCRIPTION OF THE INVENTION

In its broadest aspect, the present invention provides a method of expressing a desired isoform of a gene product in a cell absent undesired isoforms of a gene product, by exposing a mammalian cell to at least one nucleic acid, the nucleic acid being at least a

partially double-stranded ribonucleic acid and the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms of the gene product; and introducing an expression vector encoding a desired isoform of the gene product into the mammalian cell, the desired isoform having a sequence comprising one or more mismatches relative to the double-stranded portion of the nucleic acid, operably linked to a promoter capable of driving expression of the desired isoform in said cell.

The nucleic acid will typically be a ribonucleic acid (RNA) that in double-stranded form has at least 95%, preferably 98% most preferably 100% sequence identity to a common nucleic acid sequence shared by two or more isoforms of the gene product. Preferred RNA molecules for inhibition comprise sequences identical to a common nucleic acid sequence shared by two or more isoforms of the gene product over at least 15–25 consecutive bases, preferably over at least 19 consecutive bases. Preferably the common nucleic acid sequence is common to all endogenous isoforms of the gene product expressed by the cell of interest. The RNA sequence is preferably chosen to have identity with exon sequences. In particular, the double-stranded portion of the nucleic acid is preferably 100% identical to the common nucleic acid sequence. Gene expression is inhibited in a sequence-specific manner in that nucleotide sequences corresponding to the duplex region of the RNA are targeted for inhibition.

Sequence identity (and specificity – i.e. to ensure that the nucleic acid is specific and does not cross-react with other unrelated gene sequences) may be optimized and common nucleic acid sequence determined by sequence comparison and alignment algorithms known in the art (see Gribskov and Devereux, *Sequence Analysis Primer*, Stockton Press, 1991, and references cited therein) and calculating the percent difference between the nucleotide sequences by, for example, the Smith-Waterman algorithm as implemented in the BESTFIT software program using default parameters (e.g., University of Wisconsin Genetic Computing Group).

The nucleic acid will typically be relatively short for use in mammalian cells to allow efficient delivery of the nucleic acid to the cell and also to avoid any PKR response of the cell. Thus, the nucleic acids will typically be 19 to 25 nucleotides long, more preferably the nucleic acid comprises a double-stranded portion of at least 19 nucleotides and at least one two-nucleotide single-stranded 3' overhang, preferably two. Alternatively, other



ways of circumventing non-specific cellular responses to dsRNA or more efficient delivery methods can be used.

The at least partially double-stranded RNA can be formed by a self-complementary RNA strand (such as a transcript having an inverted repeat), or by two or more complementary RNA strands. RNA duplex formation may be initiated either inside or outside the cell. The RNA is introduced in an amount that allows delivery of at least one copy per cell, preferably at least 5, 10, 100, 500 or 1000 copies per cell, depending on the application. The amount introduced is dependent on the desired effect and can be easily determined empirically.

The nucleic acid may comprise nucleotides or linkages other than those that occur naturally in ribonucleic acid, for example, to stabilize the dsRNA from degradation, especially when RNA is delivered to a cell and not produced by the cell. Thus, one can employ oligoribonucleotides or oligonucleotides that comprise one or more modified (i.e., synthetic or non-naturally occurring) nucleotides. Usually, nucleotide monomers in a nucleic acid are linked by phosphodiester bonds or analogues thereof. Analogues of phosphodiester linkages include phosphorothioate, phosphorodithioate, phosphoroselenoate, phosphorodiselenoate, phosphoroanilothioate, phosphoranilidate, phosphoramidate, peptide, and the like linkages. Those of skill in the art will recognize that the reagents employed are commercially available or, in the case of the oligonucleotides, can be prepared using commercially available instrumentation. Preferably the duplex RNA will comprise ribonucleotide units or other nucleotide units that allow appropriate processing by the cell and efficient inhibition of the isoforms expressed by the cell. The nucleic acid (typically RNA) may be synthesized either *in vivo* or *in vitro*, preferably *in vitro* in particular when short sequences are employed. Endogenous RNA polymerase of the cell may mediate transcription *in vivo*, or cloned RNA polymerase can be used for transcription *in vivo* or *in vitro*, essentially as described below.

Thus, in one step of the method of the invention a mammalian cell is exposed to the nucleic acid described above to inhibit expression of specific isomers expressed in a cell of interest, preferably to inhibit all related isomers. The term "isomer" is well known in the art and is meant to encompass gene products that are produced as a result of differential gene splicing as well as from the use of alternative transcription and translation start sites. In addition, for the purpose of the present invention, the term

isomers include any closely related sequences and therefore may include a mutated gene in a cell, such as deleterious point mutations and the like. The mutant isoform can be oncogenic, apoptotic, tumor suppressive, inflammation inducive or suppressive, or angiogenic, for example, and its deleterious function corrected by the method of the invention. Although the Examples below illustrate the invention using ShcA, any gene product produced in multiple forms can be targeted using the methods of the present invention. For example, such proteins may be therapeutically important proteins, such as enzymes, e.g., kinases (PKB), ras, integrins, E2F, Rb, FGF, other signaling molecules and transcription factors.

The effect of dsRNA on gene expression will typically result in expression of the target isoforms being inhibited by at least 10%, 33%, 50%, 90%, 95% or 99% when compared to a cell not treated by this step.

The mammalian cell can be any cell of interest. Thus, the cell may be cells from the inner cell mass, extraembryonic ectoderm or embryonic stem cells, totipotent or pluripotent, dividing or non-dividing, parenchyma or epithelium, immortalized or transformed, or the like. The cell may be a stem cell or a differentiated cell. Cell types that are differentiated include without limitation adipocytes, fibroblasts, myocytes, cardiomyocytes, endothelium, dendritic cells, neurons, glia, mast cells, blood cells and leukocytes (e.g., erythrocytes, megakaryocytes, lymphocytes, such as B, T and natural killer cells, macrophages, neutrophils, eosinophils, basophils, platelets, granulocytes), epithelial cells, keratinocytes, chondrocytes, osteoblasts, osteoclasts, hepatocytes, and cells of the endocrine or exocrine glands, as well as sensory cells. Preferably for the study of biological function of a particular isomer or for studying protein-protein interactions, cells that are easily cultured are preferred. These may include cancer cells, for example, including HeLa (cervical cancer), PC3 (prostate cancer), MDA-MB-231 (breast cancer) and MCF-7 cells. It will be apparent to one of ordinary skill in the art that the teachings of the specification can easily be applied to other situations, such as cancer cells for the replacement of a mutated "isoform" with one or more desired isoform(s).

In a further step, an expression vector encoding a desired isoform of the gene product into the mammalian cell, the desired isoform having a sequence comprising one or more mismatches relative to the double-stranded portion of the nucleic acid, operably linked to a promoter capable of driving expression of the desired isoform in said cell. The desired

isoform preferably comprises a sequence comprising one or more mismatches, preferably two or more, relative to the double-stranded portion of the nucleic acid used to inhibit expression of the endogenous isoforms to ensure that the nucleic acid (RNAi) does not inhibit expression of the desired isoform. Preferably, the expression vector encodes a desired isoform using at least one codon, more preferably two codons, that differ(s) from the endogenous sequence coding the corresponding isoform. Although changes in protein sequence are encompassed by the present invention between the endogenous isoform and isoform under analysis, in particular those substitutions, deletion or additions that do not affect gene function, preferably conservative substitutions, most preferably, the desired isoform has an identical protein sequence to the corresponding endogenous isoform (although the coding sequence will be different due to codon usage)

For transcription of a transgene (whether the desired isoform or si/dsRNA) *in vivo*, an expression construct comprising at least one regulatory region (e.g. promoter, enhancer, silencer, splice donor and acceptor and polyadenylation signal) operably linked to the DNA coding for the desired RNA transcript(s) may be used to transcribe the RNA strand (or strands). The promoter can be of almost any origin. Preferred are promoters that are active in the chosen host cells like the SV40, beta-actin, metallothionein, T7, polyhedrin and cytomegalovirus promoters. The promoter can be a constitutive promoter, an inducible promoter or a tissue-specific promoter, for example, allowing inhibition to be targeted to an organ or cell type; or transcription to be induced upon stimulation of an environmental condition (e.g., infection, stress, temperature, chemical inducers); and/or engineering transcription at a developmental stage or age. Alternatively, A knock-in construct can be used to transcribe the nucleic acid of interest under the control of an endogenous promoter, as is known in the art. Modified or unmodified RNA can also be chemically or enzymatically synthesized by manual or automated reactions as described above. The RNA may be synthesized by a cellular RNA polymerase or a bacteriophage RNA polymerase (e.g., T3, T7, SP6).

Expression vectors may also include sequences allowing for their autonomous replication within the host organism, sequences that encode genetic traits that allow cells containing the vectors to be selected, and sequences that increase the efficiency with which the RNA is transcribed. Stable long-term vectors may be maintained as freely replicating entities by using regulatory elements of viruses. Cell lines may also be

produced which have integrated the vector into the genomic DNA and in this manner the transcript(s) is/are produced on a continuous basis.

Thus, an expression vector can further include, if desired, additional sequences operably linked to a promoter, such as a reporter gene (e.g., fluorescent proteins, e.g., green fluorescent protein,  $\beta$ -galactosidase, alkaline phosphatase, luciferase, CAT, selective gene markers that facilitate the selection of transformants due to the phenotypic expression of the marker gene (e.g., those expressing antibiotic resistance or, in the case of auxotrophic host mutants, genes which complement host lesions), or other useful sequences.

Nucleic acids (whether expression vectors or double-stranded RNA) can be introduced into a cell by various standard methods in genetic engineering, including physical methods, for example, simple diffusion, by injection of a solution containing the nucleic acid, bombardment by particles covered by the nucleic acid, soaking the cell or organism in a solution of the nucleic acid, or electroporation of cell membranes in the presence of the nucleic acid. A particularly preferred method for delivering nucleic acids is the use of electroporation. Alternatively, a viral construct accomplishes both efficient introduction of an expression construct into a cell and transcription of RNA encoded by the expression construct. Other methods known in the art for introducing nucleic acids to cells may also be used, as is apparent to the artisan, such as lipid-mediated delivery systems, chemical mediated transport, such as calcium phosphate transfection, DEAE-dextran transfection, and the like. The transfected host cells can be cultured by standard methods in cell culture.

The methods of the present invention are particularly useful for determining the function of a particular isoform. In this aspect of the invention, the method further comprises identifying a phenotype of the mammalian cell compared to when the desired isoform is absent, and assigning the phenotype to the desired isoform.

The invention also provides a kit comprising reagents useful in the methods of the invention, which may include a nucleic acid being at least a partially double-stranded ribonucleic acid and the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms of the gene product; and an expression vector encoding a desired isoform of the gene product, the desired isoform having a sequence comprising one or more mismatches relative to the

double-stranded portion of the nucleic acid, operably linked to a promoter capable of driving expression of the desired isoform in said cell. The preferred particulars of the components used in the method are those described hereinabove.

A mammalian cell exhibiting isoform-specific expression achieved by any of the methods of the invention is also provided.

As the isoform may be used to correct aberrant isoforms, also provided is a method for treating a disease comprising administering to a subject in need of treatment an effective amount of a nucleic acid being at least a partially double-stranded ribonucleic acid and the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms (in particular the aberrant isoform) of the gene product; and an expression vector encoding a desired isoform of the gene product, the desired isoform having a sequence comprising one or more mismatches relative to the double-stranded portion of the nucleic acid, operably linked to a promoter capable of driving expression of the desired isoform in said cell.

The invention is further described, for the purposes of illustration only, in the following examples. Methods of molecular genetics, protein and peptide biochemistry and immunology referred to but not explicitly described in this disclosure and examples are reported in the scientific literature and are well known to those skilled in the art.

## **Examples**

### ***Example 1 Inhibition (knock-down) of ShcA in HeLa cells***

The signaling adaptor/scaffold protein ShcA is a member of the Shc family, which consists of three genes, ShcA, ShcB/Sli/Sck and ShcC/N-Shc/Rai (Luzi et al., 2000, Curr. Opin. Genet. Dev. 10, 668-674). ShcA is ubiquitously expressed, whereas ShcB and ShcC are expressed specifically in the brain (Nakamura et al., 1998, J. Biol. Chem. 273, 6960-6967). There are three isoforms of ShcA, p66ShcA, p52ShcA and p46ShcA, derived from a single gene through differential usage of transcription initiation sites (p66 versus p52/p46) and translation start sites (p52 versus p46), which differ only in the amino terminal regions (Luzi et al., 2000). We chose ShcA to exemplify the invention as different cellular functions have been suggested for each isoform and therefore is of

scientific interest to obtain isoform-specific expression of ShcA. However, it will be apparent to one of ordinary skill in the art that the invention is not limited in any way to this particular gene family

The primary transcript of p52/p46 mRNA contains the entire sequence of p66 mRNA; however, the very 5' region of p66 mRNA is present in the first intron of p52/p46 mRNA but is absent in the latter mRNA, having been spliced out. The p46 and p52 isoforms are derived from the same mRNA using different translation initiation sites. The p66-siRNA site is in the 5' region only present in p66 ShcA mRNA, while h/m-shc siRNA site is in the second exon which is present in both mRNAs. Note that the sequence of p66-shc siRNA is from a 5' region of human p66ShcA mRNA and is absent in p52/46 ShcA mRNA.

Cells were transfected using the OligofectAMINE Reagent without siRNA or with h/m-shc siRNA and luc siRNA. Briefly, HeLa cells were cultured in Dulbecco's Modified Eagle's Medium (DMEM, Gibco-BRL) supplemented with 10% (v/v) fetal calf serum (AMIMED), 0.2 mg/ml streptomycin and 50 units/ml penicillin at 37°C in a humidified CO<sub>2</sub> (5%) incubator. One day before transfection with siRNA, cells were plated in 6-well plates in media without antibiotics at  $1.4 \times 10^5$  cells per well.

The following 21-mer oligonucleotides pairs were used as siRNA: h/m-shc siRNA from nt 677-697 (in the PTB domain), 5'-CUA CUU GGU UCG GUA CAU GGG-3' (SEQ ID NO:1) and 5'-CAU GUA CCG AAC CAA GUA GGA-3' (SEQ ID NO:2). Sequences were derived from the sequence of human p66ShcA mRNA (accession number: HSU7377) and its complement and each pair has a 3' overhang of 2 nt on each side. Designed RNA oligonucleotides were blasted against Database (GEMBL) to ensure gene specificity. The RNA oligonucleotides were obtained from Microsynth (Balgach, Switzerland). Annealing was performed according to Elbashir et al. (2001, Nature 411, 494-498). The complementary two strands (each at 20  $\mu$ M) in 200  $\mu$ l of annealing buffer (100 mM potassium acetate, 30 mM HEPES-KOH pH 7.4, 2 mM magnesium acetate) were heated for 1 min at 90°C and then incubated for 1 h at 37°C. An siRNA corresponding to nucleotides 753-773 of the firefly luciferase mRNA (luc siRNA) was used as a negative control.

siRNAs were introduced into HeLa cells using the OligofectAMINE Reagent (Life Technologies) according to the manufacturer's instructions, with 10  $\mu$ l of 20  $\mu$ M siRNA and 3  $\mu$ l of transfection reagent per well. At different times after transfection, whole-cell extracts were prepared and analyzed by Western blotting. Cells were lysed in a buffer containing 120 mM NaCl, 50 mM Tris pH 8.0, and 1% NP40 plus Complete (Roche) protein inhibitor tablets. The whole-cell extracts (20  $\mu$ g) were analyzed by Western blotting for Shc A,  $\beta$ -tubulin and Grb2 using a polyclonal rabbit anti-Shc antibody (1:250; Transduction Laboratories), mouse monoclonal anti-Grb2 (1:1000; Transduction Laboratories) or a mouse monoclonal anti- $\beta$  tubulin antibody (1:1000; Sigma). We used anti-rabbit or anti-mouse HRP-linked antibodies from Amersham as secondary antibodies. An enhanced chemiluminescence detection method (ECL; Amersham) was employed and the membrane was exposed to Kodak Xomat LS film. Quantification of ShcA proteins was done using ImageQuant 5.0.

When HeLa cells were transfected with the h/m-shc siRNA, the levels of all three ShcA isoforms were strongly reduced 24 h after transfection and reached less than 20% of the control after 48 h and 4% after 60 h. The level of control protein  $\beta$ -tubulin was not affected under the conditions employed, and this was also the case for Grb2, a protein that specifically interacts with ShcA upon activation of growth-factor signaling (Luzi et al., 2000). Luc siRNA had no effect. Time-course analysis showed that the levels of all ShcA isoforms remained low until the fifth day after transfection but started to increase thereafter. The reduction in the three isoforms was uniform, suggesting that both p52/p46 and p66 ShcA mRNAs were equally targeted by the siRNA. According to the invention, repeated transfection maintains or re-establishes the low levels of ShcA isoforms.

### ***Example 2 Isoform-specific knock-down***

The selective inhibition of the ShcA p66 isomer is demonstrated in this example using siRNA specific for p66. Cells were left untreated (control) or transfected without siRNA (control) or with h/m-shc siRNA, p66-shc siRNA (from nt 236-256 in the CH2 domain, 5'-GAA UGA GUC UCU GUC AUC GUC-3', SEQ ID NO:3; and 5'-CGA UGA CAG AGA CUC AUU CCG-3', SEQ ID NO:4) and control luc siRNA essentially as described in Example 1. Whole-cell extracts were prepared 48 h later and analyzed for ShcA and  $\beta$ -

tubulin levels as in Example 1. When cells were transfected with p66-shc siRNA, only the p66ShcA isoform was reduced with kinetics similar to that obtained with h/m-shc siRNA; the other two isoforms were not affected. Similarly,  $\beta$ -tubulin levels were not affected. The level of p66ShcA in cells challenged a second time with the p66-shc siRNA 6 days after the initial transfection, when the level of p66ShcA was very low but about to increase, and 10 days after the initial transfection, when the level of p66ShcA had recovered substantially, remained low and decreased markedly again after transfection at days 6 and 10, respectively.

This example illustrates the specificity of the siRNA effect with the effect of p66-shc siRNA being restricted to the p66ShcA isoform. Expression of p52/p46 ShcA was not affected although p66 and p52/p46 mRNAs share sequence identity in most of the region 3' of the siRNA site, indicating that the silencing signal does not propagate to regions of mRNA 3' to the siRNA in mammalian cells.

In addition, the sequence of p66-shc siRNA is present in the primary transcripts of p52/p46 ShcA mRNA but is spliced out of the mature mRNA. Although not wishing to be bound by theory, the fact that only the p66 ShcA isoform was downregulated by p66-shc siRNA strongly suggests that the site of action of siRNA is confined to the cytoplasm and to the spliced mRNA. If siRNA acted in the nucleus and triggered the decay of transcripts containing the corresponding sequence, the p52ShcA and p46ShcA proteins should have been equally downregulated by the same p66-shc siRNA, which was not the case.

### ***Example 3 Isoform-specific expression of ShcA***

This Example illustrates a rapid, alternative approach for isoform-specific gene expression. The previous Examples show how the adaptor protein ShcA can be suppressed in an isoform-specific manner in a human cell line. The siRNA with a sequence shared by the two ShcA transcripts suppresses p66, p52 and p46 (see Example 1). However, an siRNA whose sequence is present only in p66 mRNA suppresses only the p66 isoform (see Example 2), suggesting that the siRNA signal does not propagate to the 3' region of the target mRNA. In this Example, the expression of individual isoforms is achieved by first downregulating all isoforms by the common



(h/m shc) siRNA (as in Example 1) and then transfecting with an expression vector for the desired isoform harboring silent mutations at the site corresponding to the h/m-shc siRNA. This allows functional analysis of individual ShcA isoforms or indeed any other gene encoding multiple proteins.

The full-length mouse p46, p52 and p66ShcA cDNAs were isolated from NIH3T3 cells by reverse transcriptase-polymerase chain reaction using the sense primers 5'-CGG AAT TCA TGG GAC CTG GGG TTT CCT ACT-3' (SEQ ID NO:5), 5'-CGG AAT TCA TGA ACA AGC TGA GTG GAG GCG-3' (SEQ ID NO:6) and 5'-CGG AAT TCA TGG ATC TTC TAC CCC CCA AGC CGA AGT A-3' (SEQ ID NO:7), respectively, and the common antisense primer 5'-CGG AAT TCA CAC TTT CCG ATC CAC GGG TTG C-3' (SEQ ID NO:8). Full-length ShcA cDNAs were initially cloned into pBluescriptII KS+ and nucleotide sequences verified by the dideoxynucleotide chain termination procedure.

An HA-tagged expression vector pcDNA3HA was constructed by inserting the overlapping oligonucleotide pair 5'-CCC ACC ATG GCT TAC CCA TAC GAT GTT CCA GAT TAC GCT G-3' (SEQ ID NO:9) and 5'-AAT TCA GCG AAT TCT GGA ACA TCG TAT GGG TAA GCC ATG GTG GGG TAC-3' (SEQ ID NO:10) into the KpnI-EcoRI site of pcDNA3 (Invitrogen). To construct expression vectors for HA-tagged ShcA, p46HA, p52HA and p66HA, the full-length cDNAs of p46, p52 and p66 were inserted into the EcoRI-EcoRV site of pcDNA3HA. ShcA mutants in which potential internal initiation methionine codons were converted to leucine codons, thus expressing only the p66ShcA or 52ShcA forms, were created using the QuickChange site-directed mutagenesis kit (Stratagene). The overlapping oligonucleotide pair 5'-CTC CTC CAG GAC CTG AAC AAG CTG AGT G-3' (SEQ ID NO:11) and 5'-CAC TCA GCT TGT TCA GGT CCT GGA GGA G-3' (SEQ ID NO:12) was used to mutate methionine 65 (start site for p52) to leucine in p66HA, resulting in p66HA-m1. Another overlapping oligonucleotide pair, 5'-CCA ACG ACA AAG TCC TGG GAC CCG GGG-3' (SEQ ID NO:13) and 5'-CCC CGG GTC CCA GGA CTT TGT CGT TGG-3' (SEQ ID NO:14), was used to mutate the initiation sites for p46 in both p66HA-m1 and p52HA, resulting in p66HA-ML and p52HA-ML. Silent mutations were introduced into these vectors at the sites corresponding to h/m-shc siRNA as above using the overlapping oligonucleotide pair 5'-GGG GTT TCC TAC TTG GTC CGC TAC ATG GGT TGT C-3' (SEQ ID NO:15) and 5'-CAC AAC CCA TGT AGC GGA CCA AGT AGG AAA CCC C-3' (SEQ ID NO:16) (mutated nucleotides

underlined) to give p46HA-sm, p52HA-ML-sm and p66HA-ML-sm. Note that proteins expressed from these vectors are identical to the parent proteins.

For isoform-specific expression, HeLa cells were first transfected with no siRNA (mock) or with h/m-shc siRNA to downregulate all three endogenous ShcA proteins (isoforms) essentially as described above. Two days later, the cells were transfected with an empty expression vector, pCDNA3, or an expression vector for the desired isoform of wild-type mouse ShcA or silent mutant ShcA using Lipofectamine 2000 (Life Technologies) according to the manufacturer's instructions. One day later, whole-cell extracts were prepared and analyzed by Western blotting for the ShcA expression level. Membranes were blotted with polyclonal anti-ShcA and anti- $\beta$  tubulin antibodies.

As already demonstrated in Example 1, h/m-shc siRNA knocked down all three isoforms of endogenous ShcA almost completely. Transfection of these cells with mutant expression vectors for individual isoforms resulted in the expression of only the corresponding isoforms. Almost no protein was detected in cells transfected with wild-type expression vectors demonstrating that the siRNA acts on the exogenous ShcA expressed by the vectors. Cells which were not transfected with h/m-shc siRNA expressed elevated levels of ShcA isoforms, irrespective of the presence or absence of mutations in the expression vectors. In contrast, siRNA did not act on the mutated sequences allowing isoform-specific expression of any ShcA isoform. Cells transfected with p66HA-ML-sm clearly showed specific expression of only p66, whereas p46HA-sm and p52 HA-ML-sm resulted in expression of only p46 and p52, respectively.

The present inventors have shown that siRNA can efficiently, specifically and rapidly downregulate the level of an endogenous protein in mammalian cells. The effect of siRNA was restricted to mRNAs containing a sequence essentially identical to the siRNA used. That the primary action of siRNA on mRNA, which is most likely an endonucleolytic attack, does not propagate to other regions of the target mRNA was inferred from the following observations: (1) the effect of p66-shc siRNA was restricted to p66ShcA (Example 2) and (2) h/m-ShcA siRNA targeted wild-type ShcA mRNAs but not mutant mRNAs (Example 3). The second observation was with ectopically expressed ShcA mRNAs.

In this experiment, sequences of wild-type ShcA mRNA and mutant ShcA mRNA for each isoform were identical except for two nucleotides at the siRNA recognition site located in the middle of the mRNA. These two nucleotide changes were sufficient to avoid the siRNA effect on the expression of the desired isoform. If the silencing signal would spread either 5' or 3' of the siRNA, ShcA expression from both wild-type and mutant mRNAs would have been suppressed, as at least some of these sequences would be identical. That expression from wild-type mRNAs but not from mutant mRNAs was suppressed strongly argues for stringent specificity of siRNA-mediated mRNA decay and no propagation of RNAi in mammalian cells. This is in stark contrast to results of experiments in an insect cell-free system (Lipardi et al., 2001, *Cell* 107, 297-307) and *C. elegans* (Sijen et al., 2001, *Cell* 107, 465-476) that have suggested that long dsRNA molecules synthesized by RNA-dependent RNA polymerase are intermediates in RNAi that amplify and maintain the effect of siRNA, implying 5' spreading of the silencing signal from siRNA. If amplification is involved in silencing in mammalian cells, antisense RNA oligonucleotides alone should serve as a primer for RNA-dependent RNA polymerase and, thus, be sufficient for gene downregulation. We found that antisense RNA did not induce silencing.

An important implication of our results is that the combination of PTGS using siRNA and the isoform-specific expression of an homologous gene with silent mutations causes the cell to express only one isoform, while keeping the levels of other isoforms very low. Using this knockdown-in method, it should be possible to examine the effect of various mutations of individual isoforms on cellular activity. The isoform sequences in the expression vectors should be designed so that they are not recognized by the siRNA affecting expression of the cellular genes. Two mismatches in the mutated expression vectors were sufficient for them to be free of siRNA-mediated suppression. We used expression vectors for mouse ShcA in this work because there is a high degree of sequence similarity between mouse and human ShcA (90% mRNA sequences and 93% amino acid sequences for p66 isoform). Thus, instead of introducing mutations into expression vectors, it would have been possible to design a different siRNA with a sequence matching perfectly the endogenous human ShcA gene but not the transfected mouse gene, and achieve similar results. The advantage of our approach, however, is that we can obtain isoform-specific ShcA expression in both human and mouse cells using the same set of probes.

In summary, we have shown in mammalian cells that the site of action of siRNA-mediated mRNA degradation is confined to the cytoplasm and that the target mRNA is restricted to those mRNAs containing a sequence essentially identical to the siRNA used. These specific features of siRNA-mediated gene knock-down can be employed over a short time period in conjunction with specific expression vectors to establish conditions for expression of the ShcA gene in an isoform-specific manner. This knockdown-in method should be applicable and useful for the study of any gene expressed as multiple isoforms.

## REFERENCES

- 1 Andreadis, A., Gallego, M. E. and Nadal-Ginard, B. (1987) Generation of protein isoform diversity by alternative splicing: mechanistic and biological implications. *Annu. Rev. Cell Biol.* **3**, 207-242
- 2 Johnson, J. E., Wold, B. J. and Hauschka, S. D. (1989) Muscle creatine kinase sequence elements regulating skeletal and cardiac muscle expression in transgenic mice. *Mol. Cell Biol.* **9**, 3393-3399
- 3 Tan, S. S. (1991) Liver-specific and position-effect expression of a retinol-binding protein-lacZ fusion gene (RBP-lacZ) in transgenic mice. *Dev. Biol.* **146**, 24-37
- 4 Gorman, C. and Bullock, C. (2000) Site-specific gene targeting for gene expression in eukaryotes. *Curr. Opin. Biotechnol.* **11**, 455-460
- 5 Migliaccio, E., Giorgio, M., Mele, S., Pelicci, G., Reboldi, P., Pandolfi, P. P., Lanfrancone, L. and Pelicci, P. G. (1999) The p66shc adaptor protein controls oxidative stress response and life span in mammals. *Nature (London)* **402**, 309-313
- 6 Muller, U. (1999) Ten years of gene targeting: targeted mouse mutants, from vector design to phenotype analysis. *Mech. Dev.* **82**, 3-21
- 7 Luzi, L., Confalonieri, S., Di Fiore, P. P. and Pelicci, P. G. (2000) Evolution of Shc functions from nematode to human. *Curr. Opin. Genet. Dev.* **10**, 668-674
- 8 Nakamura, T., Muraoka, S., Sanokawa, R. and Mon, N. (1998) N-Shc and Sck, two neuronally expressed Shc adapter homologs. Their differential regional expression in the brain and roles in neurotrophin and Src signaling. *J. Biol. Chem.* **273**, 6960-6967

- 9 Bonfini, L., Migliaccio, E., Pelicci, G., Lanfrancone, L. and Pelicci, P. G. (1996)  
Not all Shc's roads lead to Ras. *Trends Biochem. Sci.* **21**, 257-261
- 10 Migliaccio, E., Mele, S., Salcini, A. E., Pelicci, G., Lai, K. M., Superti-Furga, G.,  
Pawson, T., Di Fiore, P. P., Lanfrancone, L. and Pelicci, P. G. (1997) Opposite  
effects of the p52shc/p46shc and p66shc splicing isoforms on the EGF receptor-  
MAP kinase-fos signalling pathway. *EMBO J.* **16**, 706-716
- 11 van der Krol, A. R., Mur, L. A., Beld, M., Mol, J. N. and Stuitje, A. R. (1990)  
Flavonoid genes in petunia: addition of a limited number of gene copies may lead  
to a suppression of gene expression. *Plant Cell* **2**, 291-299
- 12 Napoli, C., Lemieux, C. and Jorgensen, R. A. (1990) Introduction of a chimeric  
chalcone synthase gene in petunia results in reversible cosuppression of  
homologous genes in trans. *Plant Cell* **2**, 931-943
- 13 Cogoni, C. and Macino, G. (2000) Post-transcriptional gene silencing across  
kingdoms. *Curr. Opin. Genet. Dev.* **10**, 638-643
- 14 Carthew, R. W. (2001) Gene silencing by double-stranded RNA. *Curr. Opin. Cell  
Biol.* **13**, 244-248
- 15 Cogoni, C., Romano, N. and Macino, G. (1994) Suppression of gene expression  
by homologous transgenes. *Antonie Van Leeuwenhoek* **65**, 205-209
- 16 Fire, A., Xu, S., Montgomery, M. K., Kostas, S. A., Driver, S. E. and Mello, C. C.  
(1998) Potent and specific genetic interference by double-stranded RNA in  
*Caenorhabditis elegans*. *Nature (London)* **391**, 806-811
- 17 Boshier, J. M. and Labouesse, M. (2000) RNA interference: genetic wand and  
genetic watchdog. *Nat. Cell Biol.* **2**, E31-36
- 18 Zamore, P. D., Tuschl, T., Sharp, P. A. and Bartel, D. P. (2000) RNAi: double-  
stranded RNA directs the ATP-dependent cleavage of mRNA at 21 to 23  
nucleotide intervals. *Cell* **101**, 25-33
- 19 Bernstein, E., Caudy, A. A., Hammond, S. M. and Hannon, G. J. (2001) Role for  
a bidentate nonnuclease in the initiation step of RNA interference. *Nature  
(London)* **409**, 363-366
- 20 El shir, S. M., Harborth, J., Lendeckel, W., Yalcin, A., Weber, K. and Tuschl, T.  
(2001) Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured  
mammalian cells. *Nature (London)* **411**, 494-498ba

- 21 Lipardi, C., Wei, Q. and Paterson, B. M. (2001) RNAi as random degradative PCR: siRNA primers convert mRNA into dsRNAs that are degraded to generate new siRNAs. *Cell* **107**, 297-307
- 22 Sijen, T., Fleenor, J., Simmer, F., Thijssen, K. L., Parrish, S., Timmons, L., Plasterk, R. H. and Fire, A. (2001) On the Role of RNA Amplification in dsRNA-Triggered Gene Silencing. *Cell* **107**, 465-476
- 23 Cohen, S. S. (1968) *Virus-induced Enzymes*, Columbia University Press, New York
- 24 Fagard, M. and Vaucheret, H. (2000) Systemic silencing signal(s) *Plant Mol. Biol* **43**, 285-293
- 25 Tuschl, T., Zamore, P. D., Lehmann, R., Bartel, D. P. and Sharp, P. A. (1999) Targeted mRNA degradation by double-stranded RNA in vitro. *Genes. Dev.* **13**, 3191-3197

All references are herein incorporated by reference as if referred to individually

**Claims:**

1. A method of expressing a desired isoform of a gene product in a cell absent undesired isoforms of a gene product, said method comprising:
  - (a) exposing a mammalian cell to at least one nucleic acid, said nucleic acid being at least a partially double-stranded ribonucleic acid and the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms of said gene product; and
  - (b) introducing an expression vector encoding a desired isoform of said gene product into said mammalian cell, said desired isoform having a sequence comprising one or more mismatches relative to said double-stranded portion of said nucleic acid, operably linked to a promoter capable of driving expression of said desired isoform in said cell
2. The method of claim 1, wherein said common nucleic acid sequence is at least 19 consecutive nucleotides in length.
3. The method of claim 1 or 2, wherein said common nucleic acid sequence is common to all endogenous isoforms of said gene product in said cell.
4. The method of any one of claims 1 to 3, wherein the double-stranded portion of said nucleic acid is 100% identical to said common nucleic acid sequence.
5. The method of any one of claims 1 to 4, wherein said nucleic acid is 19 to 25 nucleotides long.
6. The method of any one of claims 1 to 5, wherein said at least partially double-stranded ribonucleic acid comprises a double-stranded portion of at least 19 nucleotides and at least one two-nucleotide single-stranded 3' overhang.
7. The method of any one of claims 1 to 6, wherein said desired isoform comprises a sequence comprising two or more mismatches relative to said double-stranded portion of said nucleic acid

8. The method of any of claims 1 to 7, wherein said expression vector encodes said desired isoform using at least one codon that differs from the endogenous sequence coding said desired isoform.
9. The method of claim 8, wherein said expression vector encodes said desired isoform using two codons that differ from the corresponding endogenous sequence coding said desired isoform.
10. The method of claim 8 or 9, wherein said desired isoform has an identical protein sequence to the corresponding endogenous isoform.
11. The method of any one of claims 1 to 10, wherein said desired isoform replaces a mutant isoform in the cell.
12. The method of claim 11, wherein said mutant isoform is oncogenic, apoptotic, tumor suppressive, inflammation inducive or suppressive, or angiogenic.
13. The method of any one of claims 1 to 12, further comprising determining the function of said desired isoform.
14. The method of any one of claims 1 to 13, wherein said cell is a cancer cell.
15. The method of claim 14, wherein said cell is selected from the group consisting of HeLa (cervical cancer), PC3 (prostate cancer), MDA-MB-231 (breast cancer) and MCF-7.
16. The method of any one of claims 1 to 15, wherein said desired isoform is transcribed under the control of an endogenous promoter.
17. The method of any one of claims 1 to 16, wherein said expression vector comprises a constitutive promoter operably linked to said desired isoform.
18. The method of any one of claims 1 to 16, wherein said expression vector comprises an inducible promoter operably linked to said desired isoform.



19. The method of any one of claims 1 to 16, wherein said expression vector comprises a tissue-specific promoter operably linked to said desired isoform.
20. A kit comprising reagents expressing a desired isoform of a gene product in a cell absent undesired isoforms of a gene product, wherein said kit comprises a nucleic acid being at least a partially double-stranded ribonucleic acid and the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms of said gene product; and an expression vector encoding a desired isoform of said gene product, said desired isoform having a sequence comprising one or more mismatches relative to said double-stranded portion of said nucleic acid, operably linked to a promoter capable of driving expression of said desired isoform in said cell
21. A mammalian cell exhibiting isoform-specific expression achieved by any of the methods of claims 1-19.
22. A method for treating a disease comprising administering to a subject in need of treatment an effective amount of a nucleic acid being at least a partially double-stranded ribonucleic acid and the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms of said gene product; and an expression vector encoding a desired isoform of said gene product, said desired isoform having a sequence comprising one or more mismatches relative to said double-stranded portion of said nucleic acid, operably linked to a promoter capable of driving expression of said desired isoform in said cell.
23. A method of assigning function to a desired isoform, said method comprising:
  - a) exposing a mammalian cell to at least one nucleic acid, said nucleic acid being at least a partially double-stranded ribonucleic acid and the double-stranded portion having at least 95% sequence identity to a common nucleic acid sequence shared by two or more isoforms of said gene product;
  - b) exposing said mammalian cell to an expression vector encoding a desired isoform of said gene product, said desired isoform having a

sequence comprising one or more mismatches relative to said double-stranded portion of said nucleic acid, operably linked to a promoter capable of driving expression of said desired isoform in said cell;

- c) identifying a phenotype of said mammalian cell compared to when said desired isoform is absent, and
- d) assigning said phenotype to said desired isoform.

**ABSTRACT****Methods of obtaining isoform specific expression in mammalian cells**

A method is provided for isoform specific gene expression in a mammalian cell in the absence of other isoforms. The method uses RNAi to achieve the specific expression.

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**